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# ATTORNEY'S DOCKET NUMBER: 2003946-0056 (ANDI/CIP)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Chiba, et al.

Examiner:

Robert J. Balls

Serial No.:

10/657,910

Art Unit:

1625

Filing Date:

September 9, 2003

Conf. No:

5169

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September 3, 2003

Conf. No:

IT A C

Title:

MACROCYCLIC COMPOUNDS USEFUL AS

**PHARMACEUTICALS** 

Mail Stop Amendment Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Certificate of Mailing

I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents

P.O. Box 1450, Alexandria, VA 22313-1450

May 33, 2006

Date

Mary B. Wilson

Typed or Printed Name of person signing certificate

Sir:

### TRANSMITTAL LETTER

Enclosed <u>for filing</u> in the above-referenced patent application, please find the following documents:

- 1. Statement Filed Pursuant To The Duty Of Disclosure Under 37 CFR §§1.56, 1.97 and 1.98 (Supplemental) (6 pages);
- 2. Supplemental Form PTO-1449 (<u>1</u> page);
- 3. Cited Art (1 reference, 1 enclosed);
- 4. Credit Card Payment form in the amount of \$180.00 (1 page); and
- 5. Return-Receipt Postcard.

Please charge any additional fees associated with this filing, or apply any credits, to our Deposit Account No. 03-1721.

Respectfully Submitted,

CHOATE, HALL & STEWART LLP

Date: May 23, 2006

Vadège M. Lagneau, Ph.D.

Reg. No.: '51,908

PATENT DEPARTMENT

CHOATE, HALL & STEWART LLP

Two International Place

Boston, Massachusetts 02110

Telephone: (617) 248-5000 Facsimile: (617) 248-4000

U.S.S.N: 10/657,910

Page 1 of 1

Attorney Docket No.: 2003946-0056 Client Reference No.: ANDI/CIP

4080506v1

# MAY 25 2006 BY

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# STATEMENT UNDER 35 CFR §§ 1.56, 1.97, & 1.98

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant requests consideration of this Information Disclosure Statement.

### Type of Statement

The present Information Disclosure Statement is:

- [ ] An original Information Disclosure Statement; or
- [X] A supplemental Information Disclosure Statement.

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Attorney Docket No.: 2003946-0056 Client Ref. No.: ANDI/CIP

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U.S.S.N: 10/657,910

# Compliance with 37 CFR § 1.97

The p	resent I	nformat	ion Disclosure Statement is being filed:				
[ ]	Pursuant to 37 CFR § 1.97(b); no fee or certification is required:						
	[]	Withi	n three months of the filing date of a national application other than				
		a cont	a continued prosecution application under § 1.53(d);				
	[]	Within three months of the date of entry of the national stage as set forth					
		in § 1.491 in an international application;					
	[]	Before the mailing of a first Office action on the merits; or					
	[ • ]	Befor	Before the mailing of a first Office action after the filing of a request for				
		continued examination under § 1.114.					
[X]	Pursuant to 37 CFR § 1.97(c) after the dates listed above but before the mailing						
	date of any of a final action under § 1.113, a notice of allowance under § 1.311, o						
	an action that otherwise closes prosecution in the application; Applicant hereby						
	either	either:					
	[X]	Certif	Certifies that either:				
		[X]	each item of information contained in the information disclosure				
			statement was first cited in any communication from a foreign				
			patent office in a counterpart foreign application not more than				
			three months prior to the filing of the information disclosure				
			statement; or				
		[]	That no item of information contained in the information				
			disclosure statement was cited in a communication from a foreign				
			patent office in a counterpart foreign application, and, to the				

knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.; or

	[X]	Include	es herewith the fee set forth in § 1.17(p).				
[]	Pursua	int to 37	CFR § 1.97(d), after the mailing date of any final action under				
	§ 1.113	3, a noti	ce of allowance under § 1.311, or an action that otherwise closes				
	prosecution in the application; Applicant hereby both:						
	[]	es that <i>either</i> :					
		[]	each item of information contained in the information disclosure				
			statement was first cited in any communication from a foreign				
			patent office in a counterpart foreign application not more than				
			three months prior to the filing of the information disclosure				
			statement; or				
		[]	That no item of information contained in the information				
			disclosure statement was cited in a communication from a foreign				
			patent office in a counterpart foreign application, and, to the				
			knowledge of the person signing the certification after making				
			reasonable inquiry, no item of information contained in the				
			information disclosure statement was known to any individual				
			designated in § 1.56(c) more than three months prior to the filing of				
			the information disclosure statement.; and				

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Attorney Docket No.: 2003946-0056 Client Ref. No.: ANDI/CIP [ ] Includes herewith the fee set forth in § 1.17(p).

### Content of the Information Disclosure Statement

Applicant hereby makes of record in the above-identified application the reference(s) listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

Applicant includes copies of references as indicated below:

[X] A Copy of Reference 1 is included;

[ ] Copies of references indicated with an asterisk on the attached form PTO-1449 are not included pursuant to 37 CFR § 1.98(d) because they were previously provided to the United States Patent Office in an Information Disclosure Statement that complies with 37 CFR § 1.98(a)-(c) and was submitted in the following patent application that is relied upon in the present case for an earlier effective filing date under 35 USC § 120:

Serial Number	Filing Date	Status

[ ] Copies of English translations of one or more non-English references are included.

Applicant hereby makes the following additional information of record in the aboveidentified application:

Applicant certifies that the Information Disclosure Statement either:

[X] Does not contain non-English language citations;

[ ] Does contain non-English language citations, a concise

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Attorney Docket No.: 2003946-0056 Client Ref. No.: ANDI/CIP

U.S.S.N: 10/657,910

explanation of which is provided in the form of an abstract.

Includes one or more translations of a non-English citation. 

### Remarks

The submission of this Information Disclosure Statement should not be construed as a representation that a search has been made.

The submission of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b).

The submission of this Information Disclosure Statement shall not be construed as a representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited patent(s) and publication(s) has (have) been fully considered by the Patent and Trademark Office during the examination of this application; and
- 3. The citations for the patent(s) and publication(s) be printed on any patent which issues from this application.

Attorney Docket No.: 2003946-0056

Page 5 of 6 Client Ref. No.: ANDI/CIP

U.S.S.N: 10/657,910

Notwithstanding any statements by Applicants, the Examiner is urged to form his or her own conclusions regarding the relevance of the cited reference(s).

Respectfully subjusted,

Dated: May 23, 2006

Nadège M. Lagreau, Ph.D.

Reg. No.: 51,908

PATENT DEPARTMENT CHOATE, HALL & STEWART Two International Place Boston, Massachusetts 02110 (617) 248-5000 (617) 248-4000

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Form PTO-1349 (REV. 8-83)	Comment of 1006 Patent as	partment of rce nd Trademark	Atty. Docket: 2003946-0056 (ANDI/CIP)	In re Application No. 10/657,910						
MAI Z	Office		Applicant: Chiba, et al.							
STATEMENT	TOTAL TOTAL		Filing Date: September 9, 2003	Group: 1625						
	ral sheets if necessar	y)			· · · · · · · · · · · · · · · · · · ·					
U.S. PATENT D		1:4	Issue Date	Class	Subclass					
Examiner's Initials	U.S. Patent No.	Applicant	Issue Date	Class	Dubelass					
U.S. PATENT APPLICATIONS										
Examiner's Initials:	Serial Number:	Applicant:	Filing Date:	Group:	Art Unit:					
FOREIGN PAT	ENT DOCUMENT	S		7						
Examiner's	Document No.	Country	Date	Translation						
Initials				Yes	No					
1.	WO03/076424A1	PCT	September 18, 2003							
OTHER DOCU	MENTS									
Examiner's Initials	Citation (Including Author, Title, Date, Pertinent Pages, Etc.)									
EXAMINER DATE CONSIDERED										
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.